Attorney Docket: SVL920030096US1/2962P Page 1 of 2

CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 11, 2004.

Grace Alice

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: February 11, 2004

Yao-Ching S. CHEN, et al.

Serial No.: To Be Assigned

Group Art Unit: To Be Assigned

Filed: Herewith

Examiner: To Be Assigned

For:

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Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record. This Information Disclosure Statement is being submitted:

With copies.
Without copies. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial No **, filed **. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609 (A)(2).

This Information Disclosure Statement is being submitted with only copies of non-U.S. patent publication(s) and non-patent literature. This protocol conforms with 37 CFR 1.98(a)(2)(i), which waives the requirement for submitting a copy of each cited U.S. Patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003.

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The documents listed on the attached Form PTO-1449 were cited in a Search Report directed to a counterpart international or foreign application.							
With the application; accordingly, no fee or separate requirements are required.							
Within three months of the application filing date, or before mailing of a first Office Action on the merits.							
Before the mailing of a first Office action after the filing of a request for continued examination under §1.114.							
After receipt of a first Office Action on the merits, but before the mailing date of a Final Office Action under §1.113, or a Notice of Allowance §1.311. Accompanied by one of: Certification under 37 CFR §1.97(e); or The fee set forth in §1.17(p)							
After mailing of a final Office Action or Notice of Allowance, but on or before payment of the issue fee. Accompanied by: Certification under 37 CFR §1.97(e); and The fee set forth in §1.17(p)							
A Certification under 37 C.F.R. §1.97(e) is provided below:							
☐ I hereby certify that each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. -or-							
I hereby certify that no item of information was cited in a communication from a foreign patent office in a counterpart foreign application or, to the best of my knowledge after making a reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.							
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449,							

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein. If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below.

Respectfully submitted,

February 11, 2004

Date

Stephen G. Sullivan

Attorney for Applicant(s)

Reg. No. 38,329 (650) 493-4540

FORM PTO-1	449 (Modified)	ATTY. DOCKET NO.		SERIAL NO.			
APPLICANT'S	ENTS AND PUBLICA INFORMATION STATEMENT	SVL920030096US1/2962P		To Be Assigned			
		APPLICANT:					
		Yao-Ching CHEN, et al.					
(Use several sl	neets if necessary)	FILING DATE:		GROUP:	GROUP:		
		Herewith		To Be Assigned			
REFERENCE D	ESIGNATION	U.S. PA	ATENT DOCUMEN	NTS			
EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)	
	6,363,389	03-26-2002	Lyle et al.				
	5,778,354	07-07-1998	Leslie et al.				
	6,094,649	07-25-2000	Bowen et al.				
	5,778,350	07-07-1998	Adams et al.				
	US 2003/0105732 A1	06-05-2003	Kagalwala et al.				
	US 2001/0016844 A1	08-23-2001	ISIP, Jr.				
	US 2001/0051939 A1	12-13-2001	Yoshimura et al.				
		FOREIGN P	ATENT DOCUME	NTS			
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLAS	Translation SUBCLASS	
							
	OTHER ART (I	ncluding Aut	hor, Title, Date, P	ertinent Pa	ges, etc.)		
						-	
EXAMINER		DATE CONSIDERED					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.